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E.O. 11652: GDS

TAGS: TECH, IT

SUBJECT: SIGNING OF GEOTHERMAL AGREEMENT WITH ITALY

REF:1.ROME 7708 2. ROME 7818

- 1. DUE TO THE UNAVAILABILITY OF ERDA OFFICIAL, ERDA HEREBY AUTHORIZES SCIENCE COUNSELOR TO SIGN THE SUBJECT AGREEMENT ON BEHALF OF ERDA.
- 2. TRANSMITTED HEREWITH IS THE APPROVED TEXT OF THE AGREEMENT. DEPARTMENT CANNOT GUARANTEE DELIVERY OF AGREEMENT IN FINAL FORM (4 COPIES) IN TIME FOR JUNE 3 SIGNING. PREPARATION OF FINAL COPIES WILL THEREFORE HAVE TO BE DONE BY EMBASSY.

BEGIN TITLE: AGREEMENT BETWEEN THE U.S. ENERGY RESEARCH AND DEVELOPMENT ADMINISTRATION (ERDA) AND THE ITALIAN ENTE NAZIONALE PER L'ENERGIA ELETTRICA (ENEL) ON COOPERATION IN THE FIELD OF GEOTHERMAL ENERGY RESEARCH AND LIMITED OFFICIAL USE LIMITED OFFICIAL USE

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DEVELOPMENT. END TITLE.

BEGIN TEXT OF THE AGREEMENT:

THE ENERGY RESEARCH AND DEVELOPMENT ADMINISTRATION (ERDA) OF THE UNITED STATES OF AMERICA AND THE ENTE NAZIONALE PER L'ENERGIA ELETTRICA (ENEL) OF ITALY,

DESIRING TO PROMOTE THE CLOSEST COLLABORATION
BETWEEN AMERICAN AND ITALIAN RESEARCH INSTITUTIONS
IN THE FIELD OF GEOTHERMAL ENERGY RESEARCH AND
DEVELOPMENT, ON THE BASIS OF MUTUAL BENEFIT BETWEEN THE GOVERNMENT OF THE UNIED STATES OF AMERICA
AND THE GOVERNMENT OF ITALY, AND

RECOGNIZING THAT TECHNOLOGICAL COOPERATION IN RESEARC; AND DEVELOPMENT IN THE AREA OF GEOTHERMAL ENERGY WILL BENEFIT THEIR RESPECTIVE COUNTRIES,

HAVE AGREED AS FOLLOWS:

ARTICLE 1: A. THE ERDA OF THE UNITED STATES OF
AMERICA AND THE ENEL OF ITALY (HEREINAFTER REFERRED TO
AS THE PARTIES) WILL PURSUE AN INTENSIVE PROGRAM OF
COOPERATION FOR RESEARCH ON DEVELOPMENT AND DEMONSTRATION
OF APPLICATIONS OF GEOTHERMAL ENERGY, INCLUDING THE
FOLLOWING:

- (1) STIMULATION OF HOT DRY ROCK AND HYDROTHERMAL RESERVOIRS
- (2) UTILIZATION OF HOT BRINE RESOURCES.
- (3) RESERVOIR PHYSICS AND ENGINEERING.
- (4) DEEP DRILLING.
- (5) ENVIRONMENTAL CONTROL TECHNOLOGY
- (6) OTHER RELATED FIELDS OF MUTUAL INTEREST TO BE AGREED UPON.
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- B. THE MAJOR OBJECTIVE OF COOPERATION UNDER THIS AGREEMENT SHALL BE THE DEVELOPMENT OF THE TECHNOLOGY FOR BOTH ELECTRIC POWER APPLICATIONS OF GEOTHERMAL ENERGY AS WELL AS DEVELOPMENT OF IMPROVED EQUIPMENT AND TECHNIQUES FOR ASSESSING GEOTHERMAL RESOURCES.
- C. COOPERATION UNDER THIS AGREEMENT MAY TAKE THE FORM OF: (1) EXCHANGE OF INFORMATION ON SCIENTIFIC AND TECHNICAL DEVELOPMENTS, ACTIVITIES, POLICIES, PRACTICES, LEGISLATION AND REGULATIONS CONCERNING GEOTHERMAL ENERGY DEVELOPMENT;

- (2) MEETINGS TO DISCUSS AND EXCHANGE INFORMATION ON SCIENTIFIC AND TECHNOLOGICAL DEVELOPMENTS AND TO IDENTIFY RESEARCH AND DEVELOPMENT PROJECTS AND PROGRAMS WHICH MAY BE USEFULLY UNDERTAKEN ON A COOPERATIVE BASIS WITHIN THE TERMS OF THIS AGREEMENT;
- (3) VISITS AND EXCHANGES OF SCIENTISTS, TECHNI-CIANS OR OTHER EXPERTS, AND THE CONDUCT OF WORKSHOPS AS MUTUALLY AGREED UPON:
- (4) EXCHANGE OF PROJECT AND EXPERIMENTAL PLANS FOR REVIEW AND COMMENT AND, IF PRACTICABLE, THE REVIEWING SIDE MAY RECOMMEND ADD-ON EXPERIMENTS;
- (5) EXCHANGE OF IMPORTANT TECHNICAL REPORTS ON GEOTHERMAL ENERGY AS THEY BECOME AVAILABLE, IT BEING UNDERSTOOD THAT DETAILED SUMMARIES OF THESE REPORTS WILL BE IN ENGLISH AND THAT ENEL WILL PROVIDE TRANSLATION FROM ITALIAN INTO ENGLISH OF ONLY THOSE REPORTS AS REQUESTED BY ERDA;
- (6) CONDUCT OF JOINT PROJECTS AND PROGRAMS, OR OF SEPARATE BUT COMPLEMENTARY PROJECTS OR PROGRAMS; AND
- (7) JOINT FUNDING OF COOPERATIVE PROJECTS FOR AGREED PURPOSES UNDER THIS AGREEMENT.
- D. THE OVERALL CONTRIBUTIONS TO AND BENEFITS FROM LIMITED OFFICIAL USE LIMITED OFFICIAL USE

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COOPERATION UNDER THIS AGREEMENT SHALL BE APPROXIMATELY BALANCED BETWEEN THE PARTIES.

ARTICLE II: SPECIFIC OBLIGATIONS AND CONDITIONS FOR THE REALIZATION OF SPECIFIC MUTUALLY AGREED RESEARCH AND DEVELOPMENT PROJECTS AND PROGRAMS SHALL BE SET FORTH IN PROJECT AGREEMENTS TO BE CONCLUDED AND CARRIED OUT IN ACCORDANCE WITH THIS AGREEMENT, AND THE APPLICABLE LAWS, REGULATIONS AND LICENSE REQUIREMENTS OF THE U.S. OF AMERICA AND ITALY.

ARTICLE III: THE PARTIES SHALL EACH DESIGNATE A COORDINATOR WHO SHALL BE RESPONSIBLE FOR THE OVERALL SUPERVISION OF THIS AGREEMENT AND THE PROJECTS AGREED TO BE UNDERTAKEN. THE COORDINATORS OR THEIR DESIGNEES SHALL PERIODICALLY MEET AS THEY DEEM NECESSARY TO REVIEW THE PROGRESS OF THE COOPERATIVE PROGRAM UNDERTAKEN PURSUANT TO THIS AGREEMENT.

ARTICLE IV: UNLESS OTHERWISE AGREED, EACH PARTY WILL BEAR THE COST OF ITS OWN PARTICIPATION IN COOPERATIVE

ACTIVITIES ENGAGED IN UNDER THIS AGREEMENT SUBJECT TO THE AVAILABILITY OF FUNDS.

ARTICLE V: THE FOLLOWING GUIDING PRINCIPLES ARE FOR USE IN NEGOTIATING INDIVIDUAL COOPERATIVE ACTIVITIES, INCLUDING SEPARATE BUT COMPLEMENTARY PROJECTS, UNDER THIS AGREEMENT, WITH THE UNDERSTANDING THAT THE PRINCIPLES MAY BE MODIFIED DURING NEGOTIATIONS OF INDIVIDUAL COOPERATIVE ACTIVITIES WHERE NECESSARY OR DESIRABLE. IT IS FURTHER UNDERSTOOD THAT THESE PRINCIPLES DO NOT COVER PRIOR ACTIVITIES, OR RELATED, BUT INDEPENDENT, ACTIVITIES OF EITHER PARTY OR OF PRIVATE ORGANIZATIONS UNLESS MUTUALLY AND SPECIFICALLY IDENTIFIED AS BEING INCLUDED IN THE COOPERATIVE ACTIVITIES UNDER THIS AGREEMENT.

IN THIS CONTEXT, THE PARTIES AGREE ON THE FOLLOWING GUIDING PRINCIPLES:

(1) THE PARTIES SUPPORT THE WIDEST POSSIBLE
DISSEMINATION AND EXCHANGE OF SCIENTIFIC AND TECHNICAL
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INFORMATION RESULTING FROM COOPERATIVE ACTIVITIES UNDER THIS AGREEMENT. SUCH INFORMATION MAY BE MADE AVAILABLE TO THE PUBLIC BY EITHER PARTY THROUGH CUSTOMARY CHANNELS AND IN ACCORDANCE WITH THE NORMAL PROCEDURES OF PARTICIPATING AGENCIES.

- (2) CERTAIN RESULTS OF THESE COOPERATIVE ACTIVITIES
 MAY CONTAIN INDUSTRIAL PROPERTY, THE RIGHT TO WHICH MUST
 BE PROTECTED IN ACCORDANCE WITH APPLICABLE LAWS.
 INDUSTRIAL PROPERTY OF A PROPRIETARY NATURE, SUCH AS
 TRADE SECRETS, INVENTIONS, PATENT INFORMATION, AND
 KNOW-HOW, MADE AVAILABLE HEREUNDER, BUT ACQUIRED BY EITHER
 PARTY OR PRIVATE ORGANIZATION PRIOR TO OR OUTSIDE THE
 COURSE OF THESE ACTIVITIES, AND WHICH BEAR A RESTRICTIVE
 DESIGNATION, SHALL BE RESPECTED AND SHALL NOT BE USED
 FOR COMMERCIAL PURPOSES OR MADE PUBLIC WITHOUT THE
 CONSENT OF THE ORIGINATING PARTY OR PRIVATE ORGANIZATION,
 EXCEPT AS MAY BE REQUIRED BY THE LAWS OF THE RECEIVING
 PARTY AND PARAGRAPH (3) BELOW, WHERE SUCH INDUSTRIAL
 PROPERTY:
- (A) IS OF A TYPE CUSTOMARILY HELD IN CONFIDENCE BY COMMERCIAL FIRMS;
- (B) IS NOT GENERALLY KNOWN OR PUBLICLY AVAILABLE FROM OTHER SOURSES;
- (C) HAS NOT ALREADY BEEN MADE AVAILABLE BY THE ORIGINATING PARTY OR OTHERS WITHOUT AN AGREEMENT CONCERN-

ING ITS CONFIDENTIALITY; OR

- (D) IS NOT ALREADY IN THE POSSESSION OF THE RECEIVING PARTY OR ITS CONTRACTORS OR DOES NOT COME INTO THEIR POSSESSION FROM ANOTHER SOURCES.
- (3) EACH PARTY SHALL USE ITS BEST EFFORTS TO MADE AVAILABLE TO THE OTHER PARTY SUCH RELEVENT INDUSTRIAL PROPERTY OF A PROPRIETARY NATURE AS MAY REASONABLE BE NECESSARY TO A SPECIFIC COOPERATIVE PROJECT. SUCH INDUSTRIAL PROPERTY MAY BE DISSEMINATED WITHOUT THE PRIOR CONSENT OF THE ORIGINATING PARTY OR PRIVATE ORGANILIMITED OFFICIAL USE LIMITED OFFICIAL USE

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ZATION AS FOLLOWS:

- (A) TO PERSONS WITHIN OR EMPLOYED BY THE RECIPIENT PARTY, AND TO OTHER CONCERNED GOVERNMENT AGENCIES OF THE RECIPIENT PARTY: AND
- (B) TO PRIME OR SUBCONTRACTORS OF THE RECIPIENT PARTY FOR USE ONLY WITHIN THE FRAMEWORK OF ITS CONTRACT(S) WITH THE RESPECTIVE PARTY ENGAGED IN WORK RELATING TO THE SUBJECT MATTER OF THE INFORMATION SO DISSEMINATED, PROVIDED THAT THE INFORMATION DISSEMINATED TO ANY PERSON UNDER SUBPARAGRAPHS (A) OR (B) ABOVE SHALL BEAR A MARKING RESTRICTING DISSEMINATION OUTSIDE THE RECIPIENT'S ORGANIZATION WITHOUT PRIOR WRITTEN APPROVAL OF THE ORIGINATING PARTY.
- (4) EACH PARTY WILL USE ITS BEST EFFORTS TO ENSURE THAT THE DISSEMINATION OF PROPRIETARY DATA RECEIVED UNDER THIS AGREEMENT IS CONTROLLED AS PRESCRIBED HEREIN.
- (5) AS TO RIGHT IN PATENTS OWNED OR CONTROLLED BY A PARTY AT THE COMMENCEMENT OF A PARTICULAR COOPERATIVE ACTIVITY, OR OWNED OR CONTROLLED BY A PARTY AS THE RESULT OF RELATED BUT INDEPENDENT CONCURRENT WORK, EACH PARTY SHALL AGREE TO GRANT A ROYALTY-FREE LICENSE TO THE OTHER UNDER PATENTS THAT BEAR A DIRECT RELATIONSHIP TO THOSE COOPERATIVE ACTIVITIES, FOR USE DURING THE PERIOD OF THE COOPERATIVE ACTIVITIES ONLY.
- (6) A. AS TO ANY PATENTABLE INVENTION ORIGINATED IN CONNECTIONWITH EXCHANGES OF PERSONNEL OR EQUIPMENT OR JOINT WORK OR STUDY EFFORTS OR EXPERIMENTS UNDER THIS AGREEMENT, THE FOLLOWING RULES SHALL APPLY:
- (I) THE PARTY IN WHOSE COUNTRY THE INVENTION IS MADE SHALL ACQUIRE ALL RIGHT, TITLE, AND INTEREST IN AND TO ANY SUCH INVENTION IN ITS OWN COUNTRY AND

IN THIRD COUNTRIES, SUBJECT TO A NON-EXCLUSIVE, IRREVOCABLE, ROYALTY-FREE LICENSE TO THE OTHER PARTY, WITH THE RIGHT TO GRANT SUBLICENSES UNDER SUCH INVENTIONS.

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- (II) THE OTHER PARTY SHALL ACQUIRE ALL RIGHT, TITLE, AND INTEREST IN AND TO ANY SUCH INVENTION IN ITS OWN COUNTRY, SUBJECT TO A NON-EXCLUSIVE, IRREVOCABLE, ROYALTY-FREE LICENSE TO THE PARTY IN WHOSE COUNTRY THE INVENTION IS MADE, WITH WTHE RIGHT TO GRANT SUBLICENSES UNDER SUCH INVENTIONS.
- B. AS TO ANY INVENTION SUBJECT TO PATENTS ORIGINATED DURING THE PERIOD OF THIS AGREEMENT WHILE IN ATTENDANCE AT MEETINGS, CONSULTATIONS, SEMINARS OR PANELS, OR WHEN EMPLOYING INFORMATION WHICH AS BEEN COMMUNICATED UNDER THIS AGREEMENT BY ONE PARTY TO THE OTHER, ORIGINATED IN CONNECTION WITH SEPARATE BUT COMPLEMENTARY PROJECTS, THE PARTY OF THE INVENTOR SHALL ACQUIRE ALL RIGHTS, TITLE, AND INTEREST IN AND TO SUCH INVENTIONS, SUBJECT TO A NON-EXCLUSIVE, IRREVOCABLE, ROYALTY-FREE LICENSE TO THE OTHER PARTY WITH THE RIGHT TO GRANT SUBLICENSES UNDER SUCH INVENTIONS.
- (7) THE PARTIES SHALL PROVIDE ALL NECESSARY COOPERATION FROM ITS INVENTORS TO CARRY OUT THE PROVISIONS OF PARAGRAPHS (5) AND (6) ABOVE.
- (8) EACH PARTY SHALL ASSUME THE RESPONSIBILITY TO PAY AWARDS OR COMPENSATION REQUIRED TO BE PAID TO ITS OWN NATIONAL ACCORDING TO ITS OWN LAWS.
- (9) COPYRIGHTS OF EITHER PARTY OF COOPERATING ORGANIZATIONS AND PERSONS SHALL BE ACCORDED TREATMENT CONSISTENT WITH INTERNATIONALLY RECOGNIZED STANDARDS OF PROTECTION.
- (10) AS TO COPYRIGHTS OWNED OR CONTROLLED BY A
 PARTY AT THE COMMENCEMENT OF PARTICULAR COOPERATIVE
 ACTIVITIES OR ACQUIRED BY A PARTY AS THE RESULT OF
 RELATED INDEPENDENT CONCURRENT WORK, EACH PARTY SHALL
 AGREE TO GRANT TO THE OTHER A ROYALTY-FREE LICENSE TO

REPRODUCE COPYRIGHTED MATERIALS HAVING A DIRECT RELATION-SHIP TO THOSE COOPERATIVE ACTIVITIES FOR USE IN THOSE COOPERATIVE ACTIVITIES ONLY. LIMITED OFFICIAL USE LIMITED OFFICIAL USE

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(11) ANY MATERIAL WHICH MAY BE SUBJECT TO COPY-RIGHT DEVELOPED IN ANY COOPERATIVE ACTIVITY MAY BE COPYRIGHTED. A PARTY SECURING A COPYRIGHT OR RIGHTS THERETO SHALL GRANT A ROYALTY-FREE LICENSE TO THE OTHER PARTY TO REPRODUCE THE COPYRIGHTED MATERIAL.

ARTICLE VI: IN FULFILLMENT OF THE AGREEMENT, EACH PARTY SHALL USE ITS BEST EFFORTS TO FACILITATE THE ACCOMPLISHMENT OF FORMALITIES INVOLVED IN THE EXCHANGE OF PERSONS AND THE IMPORT OR EXPORT OF MATERIALS AND EQUIPMENT CONNECTED WITH THE COOPERATIVE PROGRAM CONTEMPLATED BY THIS AGREEMENT.

ARTICLE VII: BOTH PARTIES SHALL, AS APPROPRIATE, ENCOURAGE THE ESTABLISHMENT AND DEVELOPMENT OF DIRECT CONTRACTS AND COOPERATION BETWEEN AGENCIES, ORGANIZATIONS AND FIRMS OF BOTH COUNTRIES WITH RESPECT TO TECHNOLOGICAL COOPERATION IN RESEARCH AND DEVELOPMENT IN THE AREA OF GEOTHERMAL ENERGY.

ARTICLE VIII: NOTHING IN THIS AGREEMENT SHALL BE CONSTRUED TO PREJUDICE OTHER ARRANGEMENTS OR FUTURE ARRANGEMENTS FOR COOPERATION BETWEEN THE PARTIES.

ARTICLE IX: THETERMINATION, CANCELLATION, EXPIRATION, OR AMENDMENT OF THIS AGREEMENT SHALL NOT AUTOMATICALLY AFFECT THE CARRYING OUT OF ANY PROJECT OF PROGRAM UNDERTAKEN IN ACCORDANCE WITH THIS AGREEMENT AND NOT FULLY EXECUTED AT THE TIME.

ARTICLE X: RECOGNIZING THE ONGOING MULTILATERAL ACTIVITIES IN THE GEOTHERMAL ENERGY PILOT STUDY UNDER THE SPONSORSHIP OF NATO/CCMS IN WHICH THE PARTIES TO THIS AGREEMENT ARE PARTICIPATING THROUGH THEIR GOVERNMENTS AND THE ROLE OF THE INTERNATIONAL ENERGY AGENCY IN PROMOTING INTERNATIONAL COOPERATION AND CONCERTED NATIONAL POLICIES IN THE DEVELOPMENT OF ALTERNATIVE SOURCES OF ENERGY, THE PARTIES TO THIS AGREEMENT WILL KEEP THE AFOREMENTIONED ORGANIZATIONS ADVISED TO THE PROGRESS OF THEIR COORDINA-

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ORGANIZATIONS ADVISED TO THE PROGRESS OF THEIR COOPERATIVE R&D ACTIVITIES AND STRIVE TO INSTITUTE ARRANGEMENTS FOR COORDINATION OF THEIR WORK UNDER THE ABOVE ONGOING PROGRAMS. UPON ESTABLISHMENT OF A WORKING GROUP ON GEOTHERMAL ENERGY UNDER THE AUSPICES OF THE INTERNATIONAL ENERGY AGENCY R&D SUBGROUP AND SUBJECT TO THE CONSENT OF THEIR GOVERNMENTS THE PARTIES WILL, AT AN APPROPRIATE FUTURE STAGE, STRIVE TO PLACE THEIR COOPERATIVE EFFORTS

UNDER THIS AGREEMENT UNDER THE AUSPICES OF THE AFORESAID INTERNATIONAL ENERGY AGENCY.

ARTICLE XI: THIS AGREEMENT SHALL ENTER INTO FORCE ON THE LATTER DATE OF SIGNATURE BY A PARTY AND SHALL REMAIN IN FORCE FOR A PERIOD OF FIVE YEARS. HOWEVER, EITHER PARTY MAY GIVE NOTICE TO THE OTHER OF ITS INTENTION TO TERMINATE THIS AGREEMENT, IN WHICH CASE THIS AGREEMENT WILL TERMINATE NOT LESS THAN SIX MONTHS AFTER SUCH NOTICE HAS BEEN GIVEN. THIS AGREEMENT MAY BE EXTENDED BY MUTUAL AGREEMENT FOR A FURTHER SPECIFIED PERIOD.

FOR THE ENERGY RESEARCH AND FOR THE ENTE NAZIONALE PER DEVELOPMENT ADMINISTRATION L'ENERGIA ELETTRICA

(UNDERSCORE) (UNDERSCORE) NAME AND TITLE NAME AND TITLE

DATE: DATE:

3. FORWARD 2 SIGNATURE COPIES TO THE OFFICE OF THE ASSIST-ANT ADMINISTRATOR FOR INTERNATIONAL AFFAIRS, ERDA. INGERSOLL

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